## LOUISIANA STATE BOARD OF SOCIAL WORK EXAMINERS

IN THE MATTER OF

COMPLAINT NO. 2024-80

STEPHEN MOCK

LMSW LICENSE NO. 15098

## **CONSENT AGREEMENT AND ORDER**

WHEREAS, Stephen Mock ("Mr. Mock") is a credentialed social worker subject to the jurisdiction and the rules and regulations of the Louisiana State Board of Social Work Examiners ("Board" or "LABSWE");

WHEREAS, the Board received a complaint against Mr. Mock wherein it was alleged that he told a client on his caseload that he wanted to adopt her and take her on a family trip, took the client to a football game with his family, took the client to the Washington Parish fair and rodeo, and had the client spend the night at his house. It was further alleged that Mr. Mock took the client to the social engagements without the consent of the child's legal custodian.

WHEREAS, by letter dated February 27, 2024, the Board notified Mr. Mock of the complaint filed against him, provided Mr. Mock with a summary of the nature of the complaint, and requested that Mr. Mock provide a response to the complaint;

WHEREAS, by letter dated March 12, 2024 and received by the Board on March 20, 2024, Mr. Mock responded to the complaint, giving his response to the allegations contained therein;

WHEREAS, pursuant to a complaint and an administrative investigation, Mr. Mock has indicated his desire to resolve this matter through a Consent Agreement and Order as provided for in Rule No. 907 of the Board's Rules, Standards and Procedures and La. R.S. 49:975(D) of the Louisiana Administrative Procedure Act;

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## WHEREAS, Mr. Mock has admitted to the following:

- 1. Mr. Mock is a Licensed Master of Social Work ("LMSW"), licensed by the Board to engage in the practice of social work in the State of Louisiana pursuant to the Social Work Practice Act, La. R.S. 37:2701 et. seq., as evidenced by License Number 15098.
- 2. Mr. Mock engaged in an impermissible personal relationship with his client.
- 3. Mr. Mock improperly encouraged and planned social meetings with his client and without obtaining valid, informed consent.
- 4. Mr. Mock disclosed client information without informed consent.

WHEREAS, by engaging in an impermissible personal relationship and improperly encouraging and planning social meetings with his client and by disclosing client information without informed consent, Mr. Mock violated La. R.S. 37:2717(A)(5), (7), & (11), La. Admin Code tit. 46:XXV §107(B), La. Admin Code tit. 46:XXV §111(F)(2), La. Admin Code tit. 46:XXV §113(A)(5), La. Admin Code tit. 46:XXV §113(B)(1), and La. Admin Code tit. 46:XXV §115(A).

WHEREAS, undersigned counsel has confirmed Mr. Mock's desire to resolve this matter through a Consent Agreement and Order;

WHEREAS, Mr. Mock agrees not to repeat the misconduct mentioned in this Consent Agreement and Order, and acknowledges that any further misconduct mentioned in this Consent Agreement and Order will be considered a violation of the terms of this Consent Agreement and Order and shall be cause for further disciplinary action:

WHEREAS, Mr. Mock recognizes his right to have an administrative adjudication of the charges outlined in the complaint and notice letter, at which time Mr. Mock would be entitled to be represented by legal counsel, to call witnesses, and to present witnesses on his behalf in defense or in mitigation of the charges made, and to a decision thereon by the Board based upon written

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findings of fact and conclusions of law in accordance with the Louisiana Administrative Procedure Act. Mr. Mock nonetheless waives his right to formal adjudication and, pursuant to La. R.S. 49:975(D), consents to the entry of the Consent Agreement and Order set forth hereinafter. Mr. Mock also acknowledges that he waives any rights to which he may be entitled pursuant to the Louisiana Administrative Procedure Act, La. R.S. 49:951, et seq., or which he otherwise may be afforded by any law, to contest his agreement to, or the force and effect of this Consent Agreement and Order, in any Court or other forum.

IT IS HEREBY ORDERED that in consideration of the foregoing and pursuant to the authority vested in the Board by La. R.S. 49:975(D), the Board orders and Mr. Mock accepts the following administrative action which is a final decision pursuant to La. R.S. 49:977:

- 1. The LMSW credential of Mr. Mock to be engaged in the practice of social work in the State of Louisiana, as evidenced by license number 15098 shall be, and is hereby placed on PROBATION for a period of one year from the date this Consent Agreement and Order is signed by all parties. Accordingly, Mr. Mock accepts and shall maintain strict compliance with the following terms and conditions.
- 2. Mr. Mock shall complete one year of supervised social work practice while his LMSW is on probation. The supervision shall be provided by a Board-Approved Clinical Supervisor ("BACS") one (1) time per month for one (1) hour for twelve (12) months. This requirement shall be separate and in addition to any BACS supervision to be applied toward the requirements for licensing as a Licensed Clinical Social Worker. The BACS must make quarterly reports on Mr. Mock's progress to the Board. Mr. Mock agrees to release the disciplinary file associated with Complaint No. 2024-80 to the BACS.

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- 3. Mr. Mock shall pay a fine in the amount of FIVE HUNDRED AND 00/100 DOLLARS (\$500.00) within one (1) year from the date all parties sign this Consent Agreement and Order. The payments shall be made on a quarterly basis with the first payment due within ninety (90) days from the date all parties sign this Consent Agreement and Order. Payment shall be made by credit card, certified check, or money order.
- 4. Mr. Mock shall reimburse legal costs associated with Complaint No. 2024-80 in the amount of ONE THOUSAND ONE HUNDRED SIXTY-TWO AND 50/100 DOLLARS (\$1,162.50) within one (1) year from the date all parties sign this Consent Agreement and Order. The payments shall be made on a quarterly basis with the first payment due within ninety (90) days from the date all parties sign this Consent Agreement and Order. Payment shall be made by credit card, certified check, or money order.
- 5. Mr. Mock shall complete a total of six (6) hours of continuing education in the area of boundaries and relationships with clients. These hours are to be pre-approved by the Board prior to completion. These continuing education hours must be completed in addition to all standard renewal requirements for his LMSW licensure. Mr. Mock shall provide the Board with evidence of attendance within twelve (12) months after all parties sign this Consent Agreement and Order.
- 6. Mr. Mock shall pass the Board's Open Book Examination on the Social Work Practice Act and Rules, Standards & Procedures within three (3) months after the date the parties sign this Consent Agreement and Order.

- 7. Mr. Mock specifically acknowledges that failure to comply with the terms of this Consent Agreement and Order may result in a denial, revocation, or suspension of any license, certificate, or registration issued by, or applied for, in accordance with La. R.S. 37:2717.
- 8. Mr. Mock further acknowledges that failure to reimburse the fees set forth above at Paragraphs 3 and 4 will require the Board to take further action in collection of this debt. Respondent has twelve (12) months to reimburse the expenses before the debt will be considered final and transferred to the Louisiana Office of Debt Recovery (ODR). After transfer, the debt is subject to an additional collection fee of up to twenty-five percent (25%) of the total debt liability, as provided for in La. R.S. 47:1676 (E).
- 9. Mr. Mock shall report the terms of this Consent Agreement and Order to any board or agency with which he is licensed, certified, or registered.
- 10. By agreeing to the terms of this Consent Agreement and Order, Mr. Mock waives all rights to appeal this adjudication.

Effect of Non-Acceptance of Agreement. Mr. Mock acknowledges that this Consent Agreement and Order is subject to the approval of the Board. He further acknowledges and agrees that if the Board rejects this Consent Agreement and Order, it will be void, and an Administrative Complaint may be filed relative to the allegations contained herein. If this Consent Agreement and Order is rejected by the Board, it will not constitute a waiver of Mr. Mock's right to a hearing on the matters alleged in an Administrative Complaint and the admissions contained herein will have no effect. He further agrees that if the Board rejects this Consent Agreement and Order, the members of the Board may decide the matter after a hearing, and their consideration of this

Consent Agreement and Order shall not alone be grounds for recusal of any member of the Board,

including any claims that the Board is biased against him, that it cannot fairly decide the case, or

that it has received ex parte communication.

Address of Board. All payments ordered and any reports required by this Consent

Agreement and Order shall be addressed to: Louisiana State Board of Social Work Examiners,

18550 Highland Road, Suite B, Baton Rouge, Louisiana 70809.

IT IS HEREBY FURTHER ORDERED that this Consent Agreement and Order shall

take effect immediately upon its adoption by the Board and is a public record of the Board. The

terms of this Consent Agreement and Order may be published and reported in, including but not

limited to, the LABSWE Newsletter, the Association of Social Work Boards (ASWB), and the

National Practitioner Data Bank-Healthcare Integrity and Protection Data Bank (NPDB-HIPDB).

The prosecutor acknowledges negotiation and preparation of this Consent Agreement and

Order in order to resolve, with prejudice, all matters for which the social worker could be charged

under Complaint 2024-80, and further certifies that this Consent Agreement and Order is

consistent with the evidence, adequately addresses the complaint, and represents a true and correct

statement of that which could be proven at a hearing of this matter.

DATED: 11/21/24

James Raines

Prosecutor

I, Stephen Mock, have read the Consent Agreement and Order, understand it, and agree to be bound by its terms and conditions. I specifically acknowledge that failure to comply with the terms of the Consent Agreement and Order may result in revocation or suspension of my license. The admissions contained herein will be considered proven and the only issue will be failure to follow the terms of the Consent Agreement and Order. I make these acknowledgements and waivers in support of a final disposition of the pending investigation of Complaint No. 2024-80 by Consent Agreement and Order pursuant to La. R.S. 49:975(D), in lieu of the institution and prosecution of formal administrative proceedings, and accordingly consent to the entirety of the Order set forth above and further waive any right to which I may be entitled under the Louisiana Administrative Procedure Act or any other law to contest, in any court, my agreement to this Order. By my subscription hereto, I also hereby authorize the Prosecutor to present this Order to the LABSWE for its consideration and to disclose to and discuss with the LABSWE the nature and results of the investigation of this matter. I herein waive any objection to such disclosure based on due process of law under either the State or Federal Constitution or under State statutory law, including La. R.S. 49:977.2. I expressly acknowledge that the disclosure of such information to the LABSWE shall be without prejudice to the Prosecutor's authority to file a formal Administrative Complaint, or the LABSWE's capacity to adjudicate such Administrative Complaint, should the LABSWE decline to approve this Consent Agreement and Order. I further acknowledge my awareness that should the LABSWE approve this Consent Agreement and Order that the Consent Agreement and Order will become a matter of public record.

DATED: 11/5/2024

W. Moch

The foregoing document was

cknowledged before me this

Stephen Mock #2024-80

Julie C Flanagan Notary Public #12204 St. Tammany Parish, LA My Commission is for life

## **ORDER**

The Louisiana State Board of Social Work Examiners, having examined the Consent Agreement and Order, Case No. 2024-80, adopted the Consent Agreement and Order in this matter.

DATED this 16th day of Jones

, 202**4**, in Baton Rouge, Louisiana.

LOUISIANA STATE BOARD OF SOCIAL WORK EXAMINERS

Dr. Hyacinth McKee, LCSW-BACS

Dr. Jamie Barney, LOSW-BACS

Evan Bergeron, Esq., Consumer Member

Melissa Smith Haley, LMSW

Trinity George, RSW

Bora Sunseri, LČSW-BACS

Stephen Mock #2024-80

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